

Audit Report

Pillai College of Arts, Commerce and Science

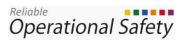
Address of Main Location

Dr. K.M. Vasudevan Pillai Campus Sector 16 New Panvel Navi Mumbai Maharashtra 410206 India

Standard Audited ISO 9001:2015

Disclaimers and Notes

Number	Disclaimer and Notes
1	The report is confidential between the client and the URS Group of Companies and
	Regulators. Should a client and/or URS wish to circulate to other, non-named parties, then
	consent in writing should be obtained from the stated parties above.
2	The content of this report has been produced from samples taken during the visit.
3	If the client does NOT agree with the content of this report, the Client should notify URS within 7 working days of receipt of the report.
4	The audit has been performed in-line with the quotation and the scheme rules and regulations - refer to www.urs-holdings.com (Scheme Rules and Regulations)
5	Cancellation of a planned audit visit may incur a cost if not requested in writing and is less than 7 working days before the visit is scheduled - refer to www.urs-holdings.com
6	If significant changes are made to the management system, scope, location, number of sites or ownership, please notify URS in writing as soon as possible.
7	Please note that Audit Reports are independently reviewed within URS and in the unlikely event that the internal reviewer does not agree with the Auditor's recommendations and conclusion, URS shall notify you of the outcome.
8	During the independent review of this report, should the auditor be required to explain further his/her audit comments, the content of the audit report and supporting documents may be amended. Should any alteration materially affect the outcome of this report, you will be advised accordingly.





2.0 Technical Aspects of the Audit and Changes

This section of the Report seeks to identify significant technical or business changes to the Client, that may effect the certification scope, the manner in which the audit was conducted, or is to be conducted for the future, which may effect the audit duration.

2.0.1 The Client's Fundamental Processes:

The auditor is ONLY defining the Client's Fundamental Processes or Departments that the client refers to in their management system (i.e.: functions in the standard such as Management Review, is a Management Process and is recorded else where). The auditor may further clarify a Client's fundamental process name to aid programme activities e.g.: if reference is made to Manufacture in a general engineering company, the auditor may expand its reference by stating Manufacture - cutting, Manufacture - Painting. The auditor is responsible for "building" the fundamental processes for the 3 year certification programme; as well as showing which fundamental processes have been seen in the individual audit plan for this visit.

Name of the	Name of the	Name of the	Name of the	Fundamental
Fundamental	Fundamental	Fundamental	Fundamental	Process or
Process	Process	Process	Process	Department
or Department	or Department	or Department	or Department	CHANGED since last Visit
Admission	Exam			
Batches	Results			
Classess				
Syllbus				
Completion				





2.0.2 The Client's Business Structure - Locations and Shifts

Client Certification Structure	Change in Address(es)of Certification Structure	Address CHANGES	Changes in Work Pattern (e.g.: Shifts)	Shift CHANGES
Single	No	Dr K.M Vasudeven Pillai campus, sector 16,New Panvel, New Mumbai Mahrashtra 410026, India	No	

2.0.3 The Client's Scope

Scope of Certification (refer to relevant Certificate)	Scope of Certification - minor CHANGES required
To Impart Education in Arts, Commerce and	No change
Science Leading to Bachelor's, Master's Based by	_
the University of Mumbai	

3.0 Significant Changes

Significant changes that have been identified by the auditor during planning activities, or during the audit itself, or notified by the client to the auditor, are changes that require additional time to audit. As such, the auditor should perform a Special Visit to verify compliance of the changes.

Area of Significant Change	Applicability	Brief Description of Change	Estimated Duration of Special Visit
NEW Location (to be added to Certification)	No		
A major increase in Employee numbers (greater than 45% from last visit)	No		
Major change in the volume of Sales and/or Customers (e.g.: over 40% increase since last visit)	No		
Major Change in applied technology/equipment/processes (e.g.: hand solder to automatic placement of components)	No		
Major change in Regulation and/or customer requirements	No		
Major change in scope (e.g.: Adding Design, Installation, extended products or services offered)	No		





4.0 Audit Team Summary, Recommendations and Conclusion

4.0.1 Audit Team Summary

QMS implementation found satisfactory.

Context of the Organisation verified. Risk and Opportunities Identified and Found Documented.

Interested Parties Indentified. Communication with them verified regarding their need and Opportunities. Process and Its Interaction are documented.

Leadsership found satisfactory. Top Management has reviewed the Output of Management review.

Verified teaching plan, time Table, Exam Shedule

Procedure for Teaching has been documented.

Student Feedback has been monitored.

Excluded-8.3 -design And Developmnt

Internal Audit and MRM Reviewed.

Continual Improvement seen Throughout the Organisation

Recommended for ISO 9001:2015 Certificate

4.0.2 Recommendation and Conclusion from the Normal Scheduled Visit

Recommendation	Indicate Where Applicable
No action required	
Consider the Comment(s) Raised for the Next Visit	
Send in a Corrective Action Plan and EVIDENCE to close the Concern(s) - Ds (evidence should be sent within 60 working days)	
As a Major NC(s) has been raised this visit, a Special Visit will be required to verify closure of the NC(s) - the visit must be performed within 90 days	
The date of the special visit has been booked for	

Conclusion	Indicate Next Action
Grant Certification or Continued Certification	
Grant Certification or Continued Certification - Subject to the Client forwarding the information requested above	
Suspension and Special Visit Required	
Immediate withdrawal of Certification	

4.0.3 Audit Team Recommendation from Acknowledged Significant Changes

The recommendation below is based upon the information stated in the Table under section 3.0 of this report (Significant Changes). The URS Office will review the recommendation below and confirm, or otherwise the requirement.





Special Recommendation	Duration of Special Visit (to the	Proposed Date
	nearest 0.5 day)	
A special visit to verify compliance of the management system with respect to the significant changes noted is required; which may amend the formally issued Certificate of Registration. The special visit should be conducted no later than 90 days from this visit date.		



5.0 Concerns Raised

Non-Compliance (NC)= major break-down in a process that may directly effect the final product or service being delivered, regulatory failure; or danger to life, or lack of compliance to a clause of the standard. Discrepancy (D) = a partial break-down in a process that does not directly cause a failure to the Product or Service being delivered, partial regulatory failure; possible minor injury, or partial non-compliance to a clause or sub-clause of the standard.

Number	Wording of Requirement	Wording of NC or D (include Client Document Reference)	Evidence for NC or D	Clause Ref.	Classification	Justification of D
					-	
					-	
					-	
					-	
					-	
					-	
					-	
					-	
					-	
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					-	
					-	
					-	





6.0 Comments Raised

OFI = Opportunity for Improvement - An area noted in a process that the auditor is aware that potential improvement can be made within the said process. PNC = Potential Non-Compliance - An area where the auditor has not fully audited the process (e.g.: a new change has been introduced to a process) but is aware that the process is not fully compliant.

Number	Description of Comment	Comment Type





EOF

